

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/768,957	01/30/2004	Daniel T. Johnson	14602.01

Sean D. Solberg
 DORSEY & WHITNEY LLP
 Intellectual Property Department
 50 South Sixth Street, Suite 1500
 Minneapolis, MN 55402-1498

CONFIRMATION NO. 4552

FORMALITIES LETTER



OC00000012938785

Date Mailed: 06/14/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$450** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.

08/02/2004 FFANAI2 00000058 10768957
 01 FC:2001
 02 FC:2051

385.00 OP
 65.00 OP

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

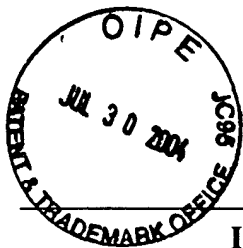
A copy of this notice MUST be returned with the reply.

A handwritten signature in black ink, appearing to be 'D. G.', is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Docket: 14602.01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Daniel T. Johnson	
Appln. No.:	10/768,957	
Filing Date:	January 30, 2004	Examiner: Unknown
Title:	Enterprise Energy Management System	Group Art Unit: 2121

**FILING OF MISSING PARTS
OF APPLICATION UNDER 37 CFR § 1.53(F)**

Mail Stop **MISSING PARTS**
Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

I hereby certify that this document is being sent via First Class U.S. mail addressed to: Mail Stop **Missing Parts**, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on this 27 day of July, 2004.

Thomas E. Egan
(Signature)

Dear Sir:

In response to the Notice To File Missing Parts of Application-Filing Date Granted, mailed on June 14, 2004, pursuant to 37 CFR § 1.53(f), the following documents are enclosed:

1. Required copy of the Notice to File Missing Parts of Application
2. Signed Declaration;
3. Fee Transmittal;
4. Power of Attorney;
5. Submission under 37 C.F.R. § 3.73(b);
6. Copy of Assignment;
7. Check for \$450.00; and

8. Return Receipt Postcard.

Date: July 27, 2004

Respectfully submitted,

DORSEY & WHITNEY LLP

Customer Number 25763

By: 

Sean D. Solberg, Reg. No. 48,653

Intellectual Property Department

Suite 1500

50 South Sixth Street

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**APPLICATION
FEE TRANSMITTAL SHEET
(FY 2004)**

Complete if Known

Application No.	10/768,957
Filing Date	January 30, 2004
First Named Inventor	Daniel T. Johnson
Group Art Unit	2121
Examiner Name	Unknown
Atty. Docket Number	14602.01

METHOD OF PAYMENT (Check One)

1. ☒ The Director is hereby authorized to charge indicated fees and credit any over payments to:
Deposit Account No.: 04-1420
Deposit Account Name: DORSEY & WHITNEY LLP
- ☒ Charge any additional fee required under 37 C.F.R. 1.16 and 1.17
☒ Applicant claims small entity status (see 37 C.F.R. 1.27)
2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee	Small Entity Fee	Fee Description
160	80	<input type="checkbox"/> Provisional Filing Fee
770	385	<input type="checkbox"/> Utility Filing Fee
340	170	<input type="checkbox"/> Design Filing Fee
770	385	<input type="checkbox"/> Reissue Filing Fee

Subtotal (1)

2. EXTRA* CLAIM FEES

	Number Claims	Prior	Extra	Fee from Below*	Fee Paid
Total	-	20	=	x	=
Indep.	-	3	=	x	=
Multiple Dependent Claims			=	x	=

Subtotal (2)

***Calculation of Extra Claim Fees**

Large Entity Fee	Small Entity Fee	Fee Description
18	9	Claims in excess of 20
86	43	Independent claims in excess of 3
290	145	Multiple dependent Claim
86	43	Reissue independent claims over original patent
18	9	Reissue claims in excess of 20 and over original patent

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Fee Description	Fee paid
50	25	Surcharge - late provisional filing fee or cover sheet	
130	65	Surcharge - Late nonprovisional filing fee or oath	
180	180	Submission of IDS	
40	40	Recording each patent assignment per property (times number of properties)	\$40.00
110	55	Extension for reply within first month	
420	210	Extension for reply within second month	
950	475	Extension for reply within third month	
1,480	740	Extension for reply within fourth month	
2,010	1,005	Extension for reply within fifth month	
770	385	Submission After Final 1.129	
330	165	Notice of Appeal	
330	165	Filing a brief in support of an appeal	
290	145	Request for oral hearing	
110	55	Terminal Disclaimer Fee	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
1,330	665	Utility/Reissue Issue Fee (including advance copies)	
480	240	Design Issue Fee (inc. advance copies)	
770	385	Request for Continued Examination (RCE)	
300	300	Publication fee for early, voluntary, or normal publication	
300	300	Publication fee for re-publication	
110	55	Petition to Revive - unavoidable	
1,330	665	Petition to Revive - unintentional	

OTHER FEE (specify)

Subtotal (3) \$40.00

Total Amount of Payment: \$40.00

Submitted by:

CUSTOMER NUMBER

25763

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Signature:

Reg. No.: 48,653

Date: July 27, 2004